

STATE OF LOUISIANA

DEPARTMENT OF ENVIRONMENTAL QUALITY

IN THE MATTER OF:

UTILITIES, INC. OF LOUISIANA

AI # 19041

PROCEEDINGS UNDER THE LOUISIANA
ENVIRONMENTAL QUALITY ACT
LA. R.S. 30:2001, ET SEQ.

* Settlement Tracking No.
* SA-WE-20-0108
*
* Enforcement Tracking No.
* WE-CN-17-01179
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SETTLEMENT

The following Settlement is hereby agreed to between Utilities, Inc. of Louisiana (“Respondent”) and the Department of Environmental Quality (“DEQ” or “the Department”), under authority granted by the Louisiana Environmental Quality Act, La. R.S. 30:2001, et seq. (“the Act”).

I

Respondent is a corporation that owns and/or operates a wastewater treatment facility located in Covington, St. Tammany Parish, Louisiana (“the Facility”).

II

On January 4, 2019, the Department issued to Respondent a Consolidated Compliance Order & Notice of Potential Penalty, Enforcement No. WE-CN-17-01179 (Exhibit 1).

The following violations, although not cited in the foregoing enforcement action, are included within the scope of this settlement:

- A. An inspection conducted by the Department on or about August 9, 2019, revealed that the Respondent had an effluent limitation exceedance. The effluent limitation exceedance, as reported by the Respondent on a discharge monitoring report (DMR) is summarized in the following table:

Monitoring Period	Outfall	Parameter	Permit Limit	Reported Value
4 th Qtr. 2018	001-Q	Copper, total [as Cu] – MO AVG	.1 lb/day	.18748 lb/day

The effluent limitation exceedance is a violation of LPDES permit LA0066559 (Effluent Limitations and Monitoring Requirements, and Part III, Section A.2), La. R.S. 30:2076(A)(3), and LAC 33:IX.501.A.

- B. An inspection conducted by the Department on or about August 9, 2019, revealed that the Respondent failed to properly operate and maintain its wastewater treatment system. Specifically, four (4) sanitary system overflows (SSOs) occurred between October 2018 through June 2019. The SSOs, as reported by the Respondent on Written Notification Reports and/or Overflow Tables are summarized in the following table:

Date	Incident Number	Location	Amount	Media Affected
10/31/2018	187801	Ohio Street Lift Station	5000-6000 gallons	Soil/water
11/29/2018	188244	327 Rosedown Way	400 gallons	Soil
12/18/2018	188504	Ohio St & Kustenmacher Rd	5 gallons	Soil
3/28/2019	n/a	9 th Street	50 gallons	Soil

The SSOs are a violation of LPDES permit LA0066559 (Part III, Sections A.2 and B.3.a), La. R.S. 30:2076(A)(3), and LAC 33:IX.2701.E.

- C. An inspection conducted by the Department on or about August 9, 2019, revealed that the Respondent caused and/or allowed the unauthorized discharge of wastewater to waters of the state from a location not authorized by LPDES Permit LA0066559. Specifically, the SSO that occurred on October 31, 2018, resulted in untreated wastewater entering a roadside ditch and comingling with standing water. The unauthorized discharge to waters of the state from a location not authorized by the LPDES permit is a violation of La. R.S. 30:2076(A)(1)(a), and LAC 33:IX.501.D.

III

Respondent denies it committed any violations or that it is liable for any fines, forfeitures and/or penalties.

IV

Nonetheless, Respondent, without making any admission of liability under state or federal statute or regulation, agrees to pay, and the Department agrees to accept, a payment in the amount of SIX THOUSAND FOUR HUNDRED AND NO/100 DOLLARS (\$6,400.00), of which Nine

Hundred Ninety-Two and 53/100 Dollars (\$992.53) represents the Department's enforcement costs, in settlement of the claims set forth in this agreement. The total amount of money expended by Respondent on cash payments to the Department as described above, shall be considered a civil penalty for tax purposes, as required by La. R.S. 30:2050.7(E)(1).

V

Respondent further agrees that the Department may consider the inspection report(s), permit record(s), the Consolidated Compliance Order & Notice of Potential Penalty and this Settlement for the purpose of determining compliance history in connection with any future enforcement or permitting action by the Department against Respondent, and in any such action Respondent shall be estopped from objecting to the above-referenced documents being considered as proving the violations alleged herein for the sole purpose of determining Respondent's compliance history.

VI

This agreement shall be considered a final order of the Secretary for all purposes, including, but not limited to, enforcement under La. R.S. 30:2025(G)(2), and Respondent hereby waives any right to administrative or judicial review of the terms of this agreement, except such review as may be required for interpretation of this agreement in any action by the Department to enforce this agreement.

VII

This settlement is being made in the interest of settling the state's claims and avoiding for both parties the expense and effort involved in litigation or an adjudicatory hearing. In agreeing to the compromise and settlement, the Department considered the factors for issuing civil penalties set forth in La. R. S. 30:2025(E) of the Act.

VIII

As required by law, the Department has submitted this Settlement Agreement to the Louisiana Attorney General for approval or rejection. The Attorney General's concurrence is appended to this Settlement Agreement.

IX

The Respondent has caused a public notice advertisement to be placed in the official journal of the parish governing authority in St. Tammany Parish, Louisiana. The advertisement, in form and wording approved by the Department, announced the availability of this settlement for public view and comment and the opportunity for a public hearing. Respondent has submitted an original proof-of-publication affidavit and an original public notice to the Department and, as of the date this Settlement is executed on behalf of the Department, more than forty-five (45) days have elapsed since publication of the notice.

X

Payment is to be made within ten (10) days from notice of the Secretary's signature. If payment is not received within that time, this Agreement is voidable at the option of the Department. Payments are to be made by check, payable to the Department of Environmental Quality, and mailed or delivered to the attention of Accountant Administrator, Financial Services Division, Department of Environmental Quality, Post Office Box 4303, Baton Rouge, Louisiana, 70821-4303. Each payment shall be accompanied by a completed Settlement Payment Form (Exhibit A).

XI

In consideration of the above, any claims for penalties are hereby compromised and settled in accordance with the terms of this Settlement.

XII

Each undersigned representative of the parties certifies that he or she is fully authorized to execute this Settlement Agreement on behalf of his or her respective party, and to legally bind such party to its terms and conditions.

UTILITIES, INC. OF LOUISIANA

BY: [Signature]
(Signature)

Alex Williams
(Printed)

TITLE: _____

THUS DONE AND SIGNED in duplicate original before me this 9 day of June, 20 21, at MADEVILLE, LA.

[Signature]
NOTARY PUBLIC (ID # 0549)

(stamped or printed)

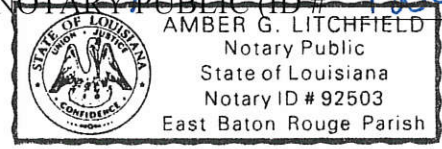


LOUISIANA DEPARTMENT OF ENVIRONMENTAL QUALITY
Chuck Carr Brown, Ph.D., Secretary

BY: [Signature]
Celena J. Cage, Assistant Secretary
Office of Environmental Compliance

THUS DONE AND SIGNED in duplicate original before me this 19th day of May, 20 22, at Baton Rouge, Louisiana.


[Signature]
NOTARY PUBLIC (ID # 92503)



(stamped or printed)

Approved: [Signature]

Lourdes Iturralde, Assistant Secretary

LOUISIANA DEPARTMENT OF ENVIRONMENTAL QUALITY OFFICE OF ENVIRONMENTAL COMPLIANCE ENFORCEMENT DIVISION POST OFFICE BOX 4312 BATON ROUGE, LOUISIANA 70821-4312		CONSOLIDATED COMPLIANCE ORDER & NOTICE OF POTENTIAL PENALTY		
Enforcement Tracking No.	WE-CN-17-01179	Certified Mail No.	7017 0530 0000 5978 5045	
Agency Interest (AI) No.	19041	Contact Name	Andréa M. Tabora	
Alternate ID No.	LA0066559	Contact Phone No.	(225) 219-3090	
Respondent:	Utilities, Inc. of Louisiana	Facility Name:	Arrowwood Regional WTF	
	c/o Corporation Service Company	Physical Location:	Pine Oak Drive at Cherokee Rose Lane	
	Agent for Service of Process			
	501 Louisiana Avenue	City, State, Zip:	Covington, LA 70433	
	Baton Rouge, LA 70802	Parish:	St. Tammany	

This **CONSOLIDATED COMPLIANCE ORDER & NOTICE OF POTENTIAL PENALTY** is issued by the Louisiana Department of Environmental Quality (the Department), under the authority granted by the Louisiana Environmental Quality Act (the Act), La. R.S. 30:2001, et seq., and particularly by La. R.S. 30:2025(C), 30:2050.2 and 30:2050.3(B).

FINDINGS OF FACT

An authorized representative of the Department inspected the abovementioned facility or conducted a file review of the facility to determine the degree of compliance with regulations promulgated in the Louisiana Administrative Code, Title 33. The State regulatory citations for the violation(s) identified during the inspection and/or file review are indicated below.

I.	<p>The Respondent owns and/or operates a wastewater treatment facility located at the end of Pine Oak Drive, Covington, St. Tammany Parish, Louisiana. The Respondent was issued Louisiana Pollutant Discharge Elimination System (LPDES) Permit LA0066559 on October 1, 2015, with an effective date of November 1, 2015. LPDES Permit LA0066559 will expire on October 31, 2020. Under the terms and conditions of LPDES Permit LA0066559, the Respondent is permitted to discharge treated sanitary wastewater into the Abita River, thence into the Boque Falaya River, thence into the Tchefuncte River, all waters of the state.</p> <p>The Respondent was issued COMPLIANCE ORDER WE-C-14-00200 on or about March 28, 2016. The Department received responses from the Respondent dated May 24, 2016, and December 7, 2016. COMPLIANCE ORDER WE-C-14-00200 is a final action of the Department and not subject to further review.</p>										
	<table border="1"> <thead> <tr> <th>Date of Violation</th> <th>Description of Violation</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">II. Inspection(s) & File Review 9/12/2018 1/3/2019</td> <td>The Respondent failed to comply with LPDES permit LA0066559. Specifically, between January 2016, and September 2018, the Respondent reported exceedances of permit effluent limitations for CBOD and Fecal Coliform as noted in Table 1. (LA0066559 (Effluent Limitations and Monitoring Requirements, and Part III, Section A.2), La. R.S. 30:2076(A)(3), and LAC 33:IX.501.A)</td> </tr> <tr> <td style="text-align: center;">III. Inspection(s) & File Review 9/12/2017 1/3/2019</td> <td>The Respondent failed to properly operate and maintain its wastewater treatment system. Specifically, the treatment system experienced numerous releases/overflows from the system. (LA0066559 (Part III, Sections A.2 and B.3.a), La. R.S. 30:2076(A)(3), and LAC 33:IX.2701.E) Table 2</td> </tr> <tr> <td style="text-align: center;">IV. Inspection(s) & File Review 9/12/2017 1/3/2019</td> <td>The Respondent caused and/or allowed the unauthorized discharge of wastewater to waters of the state from locations not authorized by LPDES Permit LA0066559. Specifically, from January 1, 2016, through October 31, 2018, twenty-six (26) sewage overflows occurred from various points in the collection system as noted in Table 2. The unauthorized discharges that reached waters of the state are in violation of La. R.S. 30:2076(A)(1)(a), and LAC 33:IX.501.D.</td> </tr> <tr> <td style="text-align: center;">V. Inspection(s) & File Review 9/12/2017 1/3/2019</td> <td>The Respondent failed to comply with LPDES permit LA0066559. Specifically, the permit requires the Respondent to report all overflows in the collection system in a tabular format to be included with the Discharge Monitoring Reports. (LA0066559 (Other Conditions, Section G, and Part III, Section A.2), La. R.S. 30:2076(A)(3), and LAC 33:IX.501.A)</td> </tr> </tbody> </table>	Date of Violation	Description of Violation	II. Inspection(s) & File Review 9/12/2018 1/3/2019	The Respondent failed to comply with LPDES permit LA0066559. Specifically, between January 2016, and September 2018, the Respondent reported exceedances of permit effluent limitations for CBOD and Fecal Coliform as noted in Table 1. (LA0066559 (Effluent Limitations and Monitoring Requirements, and Part III, Section A.2), La. R.S. 30:2076(A)(3), and LAC 33:IX.501.A)	III. Inspection(s) & File Review 9/12/2017 1/3/2019	The Respondent failed to properly operate and maintain its wastewater treatment system. Specifically, the treatment system experienced numerous releases/overflows from the system. (LA0066559 (Part III, Sections A.2 and B.3.a), La. R.S. 30:2076(A)(3), and LAC 33:IX.2701.E) Table 2	IV. Inspection(s) & File Review 9/12/2017 1/3/2019	The Respondent caused and/or allowed the unauthorized discharge of wastewater to waters of the state from locations not authorized by LPDES Permit LA0066559. Specifically, from January 1, 2016, through October 31, 2018, twenty-six (26) sewage overflows occurred from various points in the collection system as noted in Table 2. The unauthorized discharges that reached waters of the state are in violation of La. R.S. 30:2076(A)(1)(a), and LAC 33:IX.501.D.	V. Inspection(s) & File Review 9/12/2017 1/3/2019	The Respondent failed to comply with LPDES permit LA0066559. Specifically, the permit requires the Respondent to report all overflows in the collection system in a tabular format to be included with the Discharge Monitoring Reports. (LA0066559 (Other Conditions, Section G, and Part III, Section A.2), La. R.S. 30:2076(A)(3), and LAC 33:IX.501.A)
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ORDER

Based on the foregoing, the Respondent is hereby ordered to comply with the requirements that are indicated below:

I.	To take, immediately upon receipt of this COMPLIANCE ORDER , any and all steps necessary to meet and maintain compliance with the Water Quality Regulations. This shall include, but not be limited to ; correcting <u>all</u> of the violations described in the "Findings of Fact" portion.
II.	To submit to the Enforcement Division, within thirty (30) days after receipt of this COMPLIANCE ORDER , a written report that includes a detailed description of the circumstances surrounding the cited violation(s) and actions taken or to be taken to achieve compliance with the "Order" portion of this COMPLIANCE ORDER . This report and all other reports or information required to be submitted to the Enforcement Division by this COMPLIANCE ORDER shall be submitted to the Department at the address specified in this document.
III.	To immediately cease, upon receipt of this COMPLIANCE ORDER , any unauthorized discharges from the Respondent's facility to waters of the state.
IV.	To submit to the Enforcement Division, within thirty (30) days after receipt of this COMPLIANCE ORDER , a comprehensive plan for the expeditious elimination and prevention of such noncomplying discharges. Such plan shall provide for specific corrective actions taken and shall include a critical path schedule for the achievement of compliance within the shortest time possible.

RIGHT TO APPEAL

I.	The Respondent has a right to an adjudicatory hearing on a disputed issue of material fact or of law arising from this COMPLIANCE ORDER . This right may be exercised by filing a written request with the Secretary no later than thirty (30) days after receipt of this COMPLIANCE ORDER .
II.	The request for an adjudicatory hearing shall specify the provisions of the COMPLIANCE ORDER on which the hearing is requested and shall briefly describe the basis for the request. This request should reference the Enforcement Tracking Number and Agency



	Interest Number, which are located in the upper left-hand corner of the first page of this document and should be directed to the address specified in this document.
III.	Upon the Respondent's timely filing a request for a hearing, a hearing on the disputed issue of material fact or of law regarding this COMPLIANCE ORDER may be scheduled by the Secretary of the Department. The hearing shall be governed by the Act, the Administrative Procedure Act (La. R.S. 49:950, et seq.), and the Division of Administrative Law's (DAL) Procedural Rules. The Department may amend or supplement this COMPLIANCE ORDER prior to the hearing, after providing sufficient notice and an opportunity for the preparation of a defense for the hearing.
IV.	This COMPLIANCE ORDER shall become a final enforcement action unless the request for hearing is timely filed. Failure to timely request a hearing constitutes a waiver of the Respondent's right to a hearing on a disputed issue of material fact or of law under Section 2050.4 of the Act for the violation(s) described herein.
V.	The Respondent's failure to request a hearing or to file an appeal or the Respondent's withdrawal of a request for hearing on this COMPLIANCE ORDER shall not preclude the Respondent from contesting the findings of facts in any subsequent penalty action addressing the same violation(s), although the Respondent is estopped from objecting to this COMPLIANCE ORDER becoming a permanent part of its compliance history.
VI.	Civil penalties of not more than thirty-two thousand five hundred dollars (\$32,500) may be assessed for each day of violation. The Respondent's failure or refusal to comply with this COMPLIANCE ORDER and the provisions herein will subject the Respondent to possible enforcement procedures under La. R.S. 30:2025, which could result in the assessment of a civil penalty in an amount of not more than fifty thousand dollars (\$50,000) for each day of continued violation or noncompliance.
VII.	For each violation described herein, the Department reserves the right to seek civil penalties in any manner allowed by law, and nothing herein shall be construed to preclude the right to seek such penalties.

NOTICE OF POTENTIAL PENALTY

I.	Pursuant to La. R.S. 30:2050.3(B), you are hereby notified that the issuance of a penalty assessment is being considered for the violation(s) described herein. Written comments may be filed regarding the violation(s) and the contemplated penalty. If you elect to submit comments, it is requested that they be submitted within ten (10) days of receipt of this notice.
II.	Prior to the issuance of additional appropriate enforcement action(s), you may request a meeting with the Department to present any mitigating circumstances concerning the violation(s). If you would like to have such a meeting, please contact Andréa M. Tabora at (225) 219-3090 within ten (10) days of receipt of this NOTICE OF POTENTIAL PENALTY .
III.	The Department is required by La. R.S. 30:2025(E)(3)(a) to consider the gross revenues of the Respondent and the monetary benefits of noncompliance to determine whether a penalty will be assessed and the amount of such penalty. Please forward the Respondent's most current annual gross revenue statement along with a statement of the monetary benefits of noncompliance for the cited violation(s) to the above named contact person within ten (10) days of receipt of this NOTICE OF POTENTIAL PENALTY . Include with your statement of monetary benefits the method(s) you utilized to arrive at the sum. If you assert that no monetary benefits have been gained, you are to fully justify that statement. If the Respondent chooses not to submit the requested most current annual gross revenues statement within ten (10) days, it will be viewed by the Department as an admission that the Respondent has the ability to pay the statutory maximum penalty as outlined in La. R.S. 30:2025.
IV.	This CONSOLIDATED COMPLIANCE ORDER & NOTICE OF POTENTIAL PENALTY is effective upon receipt.

CONTACTS AND SUBMITTAL OF INFORMATION

Enforcement Division: Louisiana Department of Environmental Quality Office of Environmental Compliance Water Enforcement Division Post Office Box 4312 Baton Rouge, LA 70821 Attn: Andréa M. Tabora	Hearing Requests: Department of Environmental Quality Office of the Secretary Post Office Box 4302 Baton Rouge, Louisiana 70821-4302 Attn: Hearings Clerk, Legal Division Re: Enforcement Tracking No. WE-CN-17-01179 Agency Interest No. 19041
Water Permits Division (if necessary): Department of Environmental Quality Office of Environmental Services Post Office Box 4313 Baton Rouge, LA 70821-4313 Attn: Water Permits Division	Physical Address (if hand delivered): Department of Environmental Quality 602 N Fifth Street Baton Rouge, LA 70802

HOW TO REQUEST CLOSURE OF THIS CONSOLIDATED COMPLIANCE ORDER & NOTICE OF POTENTIAL PENALTY

- To appeal the **CONSOLIDATED COMPLIANCE ORDER AND NOTICE OF POTENTIAL PENALTY**, the Respondent must follow the guidelines set forth in the "Right to Appeal" portion of this **CONSOLIDATED COMPLIANCE ORDER AND NOTICE OF POTENTIAL PENALTY**.
- To request closure of the **COMPLIANCE ORDER** portion, the Respondent must demonstrate compliance with the "Order" portion of this **COMPLIANCE ORDER** by completing the attached "**CONSOLIDATED COMPLIANCE ORDER AND NOTICE OF POTENTIAL PENALTY REQUEST TO CLOSE**" form and returning it to the address specified.
 - Before requesting closure of the **COMPLIANCE ORDER** portion, please contact the Financial Services Division at 225-219-3865 or email them at _DEQ-WWWFinancialServices@la.gov to determine if you owe outstanding fees.
- To expedite closure of the **NOTICE OF POTENTIAL PENALTY** portion, the Respondent may offer a settlement amount to resolve any claim for civil penalties for the violation(s) described herein.
 - The Department assesses civil penalties based on LAC 33:I.Subpart1.Chapter7.
 - The Respondent may offer a settlement amount but the Department is under no obligation to enter into settlement negotiations. It is decided upon on a discretionary basis.
 - The settlement offer amount may be entered on the attached "**CONSOLIDATED COMPLIANCE ORDER AND NOTICE OF POTENTIAL PENALTY REQUEST TO CLOSE**" form. The Respondent must include a justification of the offer.

- o **DO NOT** submit payment of the offer amount with the form. The Department will review the settlement offer and notify the Respondent as to whether the offer is or is not accepted.
- o Before requesting closure of the **NOTICE OF POTENTIAL PENALTY** portion, please contact the Financial Services Division at 225-219-3865 or email them at _DEQ-WWWFinancialServices@la.gov to determine if you owe outstanding fees.

If you have questions or need more information, you may contact Andréa M. Tabora at (225) 219-3090 or andrea.tabora@la.gov.



Lourdes Iturralde
Assistant Secretary
Office of Environmental Compliance

Date: 1-4-19

ecc: Public Health Chief Engineer
Office of Public Health
Department of Health and Hospitals

Attachment(s)

- Request to Close
- Table 1 & Table 2
- Settlement Brochure

LOUISIANA DEPARTMENT OF ENVIRONMENTAL QUALITY OFFICE OF ENVIRONMENTAL COMPLIANCE ENFORCEMENT DIVISION POST OFFICE BOX 4312 BATON ROUGE, LOUISIANA 70821-4312		CONSOLIDATED COMPLIANCE ORDER & NOTICE OF POTENTIAL PENALTY REQUEST TO CLOSE		
Enforcement Tracking No.	WE-CN-17-01179	Contact Name	Andréa M. Tabora	
Agency Interest (AI) No.	19041	Contact Phone No.	(225) 219-3090	
Alternate ID No.	LA0066559			
Respondent:	Utilities, Inc. of Louisiana	Facility Name:	Arrowwood Regional WTF	
	c/o Corporation Service Company	Physical Location:	Pine Oak Drive at Cherokee Rose Lane	
	Agent for Service of Process			
	501 Louisiana Avenue	City, State, Zip:	Covington, LA 70433	
	Baton Rouge, LA 70802	Parish:	St. Tammany	
STATEMENT OF COMPLIANCE				
STATEMENT OF COMPLIANCE		Date Completed	Copy Attached?	
A written report was submitted in accordance with Paragraph II of the "Order" portion of the COMPLIANCE ORDER .				
All necessary documents were submitted to the Department within 30 days of receipt of the COMPLIANCE ORDER in accordance with Paragraph IV of the "Order" portion of the COMPLIANCE ORDER .				
All items in the "Findings of Fact" portion of the COMPLIANCE ORDER were addressed and the facility is being operated to meet and maintain the requirements of the "Order" portion of the COMPLIANCE ORDER . Final compliance was achieved as of:				
SETTLEMENT OFFER (OPTIONAL)				
<i>(check the applicable option)</i>				
<input type="checkbox"/>	The Respondent is not interested in entering into settlement negotiations with the Department with the understanding that the Department has the right to assess civil penalties based on LAC 33:1.Subpart1.Chapter7.			
<input type="checkbox"/>	In order to resolve any claim for civil penalties for the violations in NOTICE OF POTENTIAL PENALTY (WE-CN-17-01179) , the Respondent is interested in entering into settlement negotiations with the Department and would like to set up a meeting to discuss settlement procedures.			
<input type="checkbox"/>	In order to resolve any claim for civil penalties for the violations in NOTICE OF POTENTIAL PENALTY (WE-CN-17-01179) , the Respondent is interested in entering into settlement negotiations with the Department and offers to pay \$ _____ which shall include LDEQ enforcement costs and any monetary benefit of non-compliance. <ul style="list-style-type: none"> • Monetary component = \$ _____ • Beneficial Environmental Project (BEP) component (optional)= \$ _____ • DO NOT SUBMIT PAYMENT OF THE OFFER WITH THIS FORM- the Department will review the settlement offer and notify the Respondent as to whether the offer is or is not accepted. The Respondent has reviewed the violations noted in NOTICE OF POTENTIAL PENALTY (WE-CN-17-01179) and has attached a justification of its offer and a description of any BEPs if included in settlement offer.			
CERTIFICATION STATEMENT				
I certify, under provisions in Louisiana and United States law that provide criminal penalties for false statements, that based on information and belief formed after reasonable inquiry, the statements and information attached and the compliance statement above, are true, accurate, and complete. I also certify that I do not owe outstanding fees or penalties to the Department for this facility or any other facility I own or operate. I further certify that I am either the Respondent or an authorized representative of the Respondent.				
Respondent's Signature		Respondent's Printed Name		Respondent's Title
Respondent's Physical Address		Respondent's Phone #	Date	
MAIL COMPLETED DOCUMENT TO THE ADDRESS BELOW:				
Louisiana Department of Environmental Quality Office of Environmental Compliance Enforcement Division Post Office Box 4312 Baton Rouge, LA 70821 Attn: Andréa M. Tabora				

If you have questions or need more information, you may contact Andréa M. Tabora at (225) 219-3090 or andrea.tabora@la.gov.

Table 1

Date	Outfall	Parameter	Permit Limit	Reported Value
1/2016	001	Fecal Coliform, Daily Maximum	400 col/100 ml	460.0 col/100 ml
8/2016	001	Fecal Coliform, Daily Maximum	400 col/100 ml	760.0 col/100 ml
11/2017	001	CBOD, Monthly Average	10 mg/l	10.5 mg/l
		CBOD, Weekly Average	15 mg/l	18 mg/l
2/2018	001	CBOD, Weekly Average	15 mg/l	18 mg/l

Table 2. System Overflows

Date	Location	Cause
5/2/2016	Maple Ridge Subdivision Entrance, Covington	Pump malfunction at lift station
6/18/2016	117 Cherokee Drive, Abita Springs	Pump malfunction at lift station
7/16/2016	4001 Hwy 190 East Service Road, Covington	Broken pipeline
1/3/2017	Cherokee & Pine Oak Drive, Abita Springs	Basin overflow
1/3/2017	219 Robin Hood, Covington	Lift station pump failure
3/8/2017	219 Robin Hood, Covington	Pump failure
6/2/2017	1505 Hwy 190, Covington	Damaged collection line
8/2/2017	Bentree South, Covington	Broken sewer force main
8/4/2017	End of Pine Oak, Covington	Back flow from equalization basin
8/14/2017	530 Twin River Drive, Covington	Lift station pump failure
8/28/2017	3 rd Street, Covington	Check valve failure
9/11/2017	River Highland Blvd, Covington	Cracked sewer force main
10/5/2017	3997 US 190 Service Road, Covington	Broken connection joint
11/19/2017	1613 Bradford Court, Mandeville	Lift station pump failure
12/10/2017	LA Hwy 190 near Home Depot, Covington	Blocked manhole
12/21/2017	Plant Backwash Pump, Covington	Corroded line
12/29/2017	624 Ave. Louis Quatroze, Covington	Lift station failure
1/27/2018	Manor Street, Covington	Rain event
2/7/2018	117 Cherokee Dr, Abita Springs	Lift Station pump failure
2/19/2018	Bradford Street Lift Station, Covington	Lift Station failure
2/26/2018	Fairway Garden Lift Station, Covington	Lift station pump failure
3/6/2018	United Church Road, Abita Springs	I & I issues
3/12/2018	Kings Forest Subdivision Lift Station, Covington	Lift station failure
8/17/2018	Harrison Rd & Cherokee Dr., Covington	Leak from a ruptured pipe
8/23/2018	2500 ft N of Harrison Rd & Cherokee Dr., Abita Springs	Damaged air relief valve from a lift station
10/16/2018	3 rd Street, Abita Springs	Cracked cap on a force main tap

SETTLEMENT AGREEMENTS

WHAT IS A SETTLEMENT AGREEMENT?

Once the Department has determined that a penalty is warranted for a violation, the Assistant Secretary of the Department, with the concurrence of the Attorney General, may enter into a settlement agreement with the Respondent as a means to resolve the Department's claim for a penalty.

HOW DOES THE SETTLEMENT AGREEMENT PROCESS WORK?

To begin the settlement agreement process, the Department must receive a written settlement offer. Once this offer is submitted, it is sent for approval by the Assistant Secretary of the Office of Environmental Compliance. The formal Settlement Agreement is drafted and sent to the Attorney General's office where the Attorney General has a 90 day concurrence period. During this time, the Respondent is required to run a public notice in an official journal and/or newspaper of general circulation in each affected parish. After which, a 45 day public comment period is opened to allow the public to submit comments. Once the Department has received concurrence, the settlement agreement is signed by both parties. The Department then forwards a letter to the responsible party to establish a payment plan and/or beneficial environmental project (BEP).

WHAT SHOULD I INCLUDE IN A SETTLEMENT AGREEMENT?

The Department uses the penalty determination method defined in LAC 33:1.705 as a guideline to accepting settlement offers. The penalty matrix is used to determine a penalty range for each violation based on the two violation specific factors, the nature and gravity of the violation and the degree of risk/impact to human health and property.

	NATURE AND GRAVITY OF THE VIOLATION			
	MAJOR	MODERATE	MINOR	
DEGREE OF RISK OR IMPACT TO HUMAN HEALTH OR PROPERTY	MAJOR	\$32,500 to \$20,000	\$20,000 to \$15,000	\$15,000 to \$11,000
	MODERATE	\$11,000 to \$8,000	\$8,000 to \$5,000	\$5,000 to \$3,000
	MINOR	\$3,000 to \$1,500	\$1,500 to \$500	\$500 to \$100

Degree of Risk to Human Health or Property

Major: (actual measurable harm or substantial risk of harm) A violation of major impact to an environmental resource or a hazard characterized by high volume and/or frequent occurrence and/or high pollutant concentration.

Moderate: (potential for measurable detrimental impact) A violation of moderate impact and hazard may be one characterized by occasional occurrence and/or pollutant concentration that may be expected to have a detrimental effect under certain conditions

Minor: (no harm or risk of harm) A violation of minor impact are isolated single incidences and that cause no measurable detrimental effect or are administrative in nature.

Nature and Gravity of the Violation

Major: Violations of statutes, regulations, orders, permit limits, or permit requirements that result in negating the intent of the requirement to such an extent that little or no implementation of requirements occurred .

Moderate: Violations that result in substantially negating the intent of the requirements, but some implementation of the requirements occurred.

Minor: Violations that result in some deviation from the intent of the requirement; however, substantial implementation is demonstrated.

The range is adjusted using the following violator specific factors:

1. history of previous violations or repeated noncompliance;
2. gross revenues generated by the respondent;
3. degree of culpability, recalcitrance, defiance, or indifference to regulations or orders;
4. whether the Respondent has failed to mitigate or to make a reasonable attempt to mitigate the damages caused by the violation; and
5. whether the violation and the surrounding circumstances were immediately reported to the department, and whether the violation was concealed or there was an attempt to conceal by the Respondent.



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Given the previous information, the following formula is used to obtain a penalty amount.

$$\text{Penalty Event Total} = \text{Penalty Event Minimum} + (\text{Adjustment Percentage} \times [\text{Penalty Event Maximum} - \text{Penalty Event Minimum}])$$

After this, the Department adds any monetary benefit of noncompliance to the penalty event. In the event that a monetary benefit is gained due to the delay of a cost that is ultimately paid, the Department adds the applicable judicial interest. Finally, the Department adds all response costs including, but not limited to, the cost of conducting inspections, and the staff time devoted to the preparation of reports and issuing enforcement actions.

WHAT IS A BEP?

A BEP is a project that provides for environmental mitigation which the respondent is not otherwise legally required to perform, but which the defendant/respondent agrees to undertake as a component of the settlement agreement.

Project categories for BEPs include public health, pollution prevention, pollution reduction, environmental restoration and protection, assessments and audits, environmental compliance promotion, and emergency planning, preparedness and response. Other projects may be considered if the Department determines that these projects have environmental merit and is otherwise fully consistent with the intent of the BEP regulations.

WHAT HAPPENS IF MY OFFER IS REJECTED?

If an offer is rejected by the Assistant Secretary, the Legal Division will contact the responsible party, or anyone designated as an appropriate contact in the settlement offer, to discuss any discrepancies.

WHERE CAN I FIND EXAMPLES AND MORE INFORMATION?

Settlement Offers	searchable in EDMS using the following filters Media: Air Quality, Function: Enforcement; Description: Settlement
Settlement Agreements	Enforcement Division's website specific examples can be provided upon request
Penalty Determination Method	LAC 33:1 Chapter 7
Beneficial Environmental Projects	LAC 33:1 Chapter 25 FAQs
Judicial Interest.....	provided by the Louisiana State Bar Association

