STATE OF LOUISIANA

DEPARTMENT OF ENVIRONMENTAL QUALITY

IN THE MATTER OF:

* Settlement Tracking No.

* SA-MM-19-0084

SYNGENTA CROP PROTECTION, LLC *

* Enforcement Tracking No.

AI # 2367 * MM-PP-17-01116

MM-PP-17-01116A

PROCEEDINGS UNDER THE LOUISIANA

ENVIRONMENTAL QUALITY ACT
LA. R.S. 30:2001, ET SEQ. *

SETTLEMENT

The following Settlement is hereby agreed to between Syngenta Crop Protection, LLC ("Respondent") and the Department of Environmental Quality ("DEQ" or "the Department"), under authority granted by the Louisiana Environmental Quality Act, La. R.S. 30:2001, et seq. ("the Act").

I

Respondent is a limited liability company that owned and/or operated a facility located in St. Gabriel, Iberville Parish, Louisiana ("the Facility").

II

On July 6, 2018, the Department issued to Respondent a Notice of Potential Penalty, Enforcement No. MM-PP-17-01116 (Exhibit 1).

On April 30, 2020, the Department issued to Respondent an Amended Notice of Potential Penalty, Enforcement No. MM-PP-17-01116A (Exhibit 2).

III

Respondent denies it committed any violations or that it is liable for any fines, forfeitures and/or penalties.

Nonetheless, Respondent, without making any admission of liability under state or federal statute or regulation, agrees to pay, and the Department agrees to accept, a payment in the amount of ONE THOUSAND SEVEN HUNDRED AND NO/100 DOLLARS (\$1,700.00), of which Eight Hundred Sixty-One and 34/100 Dollars (\$861.34) represents the Department's enforcement costs, in settlement of the claims set forth in this agreement. The total amount of money expended by Respondent on cash payments to the Department as described above, shall be considered a civil penalty for tax purposes, as required by La. R.S. 30:2050.7(E)(1).

V

Respondent further agrees that the Department may consider the inspection report(s), the Notice of Potential Penalty, Amended Notice of Potential Penalty and this Settlement for the purpose of determining compliance history in connection with any future enforcement or permitting action by the Department against Respondent, and in any such action Respondent shall be estopped from objecting to the above-referenced documents being considered as proving the violations alleged herein for the sole purpose of determining Respondent's compliance history.

VI

This agreement shall be considered a final order of the Secretary for all purposes, including, but not limited to, enforcement under La. R.S. 30:2025(G)(2), and Respondent hereby waives any right to administrative or judicial review of the terms of this agreement, except such review as may be required for interpretation of this agreement in any action by the Department to enforce this agreement.

VII

This settlement is being made in the interest of settling the state's claims and avoiding for

both parties the expense and effort involved in litigation or an adjudicatory hearing. In agreeing to the compromise and settlement, the Department considered the factors for issuing civil penalties set forth in La. R. S. 30:2025(E) of the Act.

VIII

As required by law, the Department has submitted this Settlement Agreement to the Louisiana Attorney General for approval or rejection. The Attorney General's concurrence is appended to this Settlement Agreement.

IX

The Respondent has caused a public notice advertisement to be placed in the official journal of the parish governing authority in Iberville Parish, Louisiana. The advertisement, in form and wording approved by the Department, announced the availability of this settlement for public view and comment and the opportunity for a public hearing. Respondent has submitted an original proof-of-publication affidavit and an original public notice to the Department and, as of the date this Settlement is executed on behalf of the Department, more than forty-five (45) days have elapsed since publication of the notice.

X

Payment is to be made within thirty (30) days from notice of the Secretary's signature. If payment is not received within that time, this Agreement is voidable at the option of the Department. Payments are to be made by check, payable to the Department of Environmental Quality, and mailed or delivered to the attention of Accountant Administrator, Financial Services Division, Department of Environmental Quality, Post Office Box 4303, Baton Rouge, Louisiana, 70821-4303. Each payment shall be accompanied by a completed Settlement Payment Form (Exhibit A).

In consideration of the above, any claims for penalties are hereby compromised and settled in accordance with the terms of this Settlement.

XII

Each undersigned representative of the parties certifies that he or she is fully authorized to execute this Settlement Agreement on behalf of his or her respective party, and to legally bind such party to its terms and conditions.

SYNGENTA CROP PROTECTION, LLC

	BY:
	(Signature)
	(Printed)
	TITLE:
THUS DONE AND SIGNED in de, 20	uplicate original before me this day of, at
	NOTARY PUBLIC (ID #)
	(stamped or printed)
	LOUISIANA DEPARTMENT OF ENVIRONMENTAL QUALITY Chuck Carr Brown, Ph.D., Secretary
	BY:
THUS DONE AND SIGNED in du, 20	uplicate original before me this day of, at Baton Rouge, Louisiana.
	NOTARY PUBLIC (ID #)
Approved:	(stamped or printed)

JOHN BEL EDWARDS
GOVERNOR



CHUCK CARR BROWN, PH.D. SECRETARY

EXHIBIT

State of Louisiana

DEPARTMENT OF ENVIRONMENTAL QUALITY
OFFICE OF ENVIRONMENTAL COMPLIANCE
July 6, 2018

CERTIFIED MAIL (7014 1200 0000 7864 2154/2161) RETURN RECEIPT REQUESTED

SYNGENTA CROP PROTECTION, LLC

c/o CT Corporation System Agent for Service of Process 3867 Plaza Tower Drive Baton Rouge, LA 70816

RE: NOTICE OF POTENTIAL PENALTY ENFORCEMENT TRACKING NO. MM-PP-17-01116

AGENCY INTEREST NO. 2367

Dear Sir/Madam:

On or about August 22, 2017 through August 24, 2017, October 18, 2017, October 27, 2017, and October 30, 2017 through October 31, 2017, inspections of SYNGENTA CROP PROTECTION – ST. GABRIEL PLANT, a manufacturer of agricultural and industrial pesticides and other specialty chemicals, owned and/or operated by SYNGENTA CROP PROTECTION, LLC (RESPONDENT), were performed to determine the degree of compliance with the Louisiana Environmental Quality Act (the Act) and the Hazardous Waste and Solid Waste Regulations. The facility is located at 3905 Highway 75, in St. Gabriel, Iberville Parish, Louisiana.

The facility notified the Department as a Large Quantity Generator of hazardous waste and operates under EPA identification number LAD053783445. The facility operates under hazardous waste permit LA053783445-OP-RM-MO-3, which became effective on April 8, 2016 and expires on April 8, 2026.

While the investigation by the Louisiana Department of Environmental Quality (the Department) is not yet complete, the following violations were noted during the course of the inspections:

A. The Respondent failed to clearly mark or label an aboveground container used to store used oil with the words "Used Oil," in violation of LAC 33:V.4013.D.1. Specifically, two (2) three (3) gallon containers, located in the vehicle maintenance shop, storing used oil were not labeled. This violation was noted during the August 22, 2017 inspection. On or about October 18, 2017, the Respondent provided a photograph demonstrating that the used oil containers are now labeled.

SYNGENTA CROP PROTECTION, LLC MM-PP-17-01116 Page 2

- B. The Respondent failed to label or mark clearly universal waste batteries or a container in which the batteries are contained with any one of the following phrases: "Universal Waste—Battery(ies)," or "Waste Battery(ies)," or "Used Battery(ies)," in violation of LAC 33:V.3845.A.1. Specifically, one (1) pallet of universal waste batteries, located in the vehicle maintenance shop, were labeled "Spent Acid Batteries for Recycle." This violation was noted during the August 22, 2017 inspection. On or about January 4, 2018, the Respondent provided a photograph demonstrating universal waste batteries were labeled as "Universal Waste-Batteries."
- C. The Respondent failed to keep hazardous waste containers closed during storage, except when necessary to add or remove hazardous waste, as specified in LAC 33:V.2107.A, in violation of LAC 33:V.1109.E.4. Specifically, one (1) fifty-five (55) gallon drum, located in the MPF Hazardous Waste Satellite Accumulation Area #3, labeled "Malononitrile Contaminated PPE," was not properly closed. The lid was open slightly and the ring clamp was not secured. During the inspection, a representative of the Respondent closed the lid and secured the ring clamp on the drum. Also, located in the HPF Building 101K Laboratory, the Department observed one (1) half gallon bucket and one (1) one (1) quart container labeled "Spent," both of which were open. These containers housed used pipettes throughout the work day and were emptied into the lab's satellite accumulation container at the end of each day. On or about October 18, 2017, a representative of the Respondent provided photographs showing these two (2) containers were removed from the lab. Also, according to correspondence from the Respondent, the lab has put in place a new standard operating procedure instructing the lab employees to place all used pipettes directly into the satellite accumulation container. This violation was discovered during the August 24, 2017 inspection.
- D. The Respondent stored solid waste on-site for greater than one (1) year without approval from the Office of Environmental Compliance, in violation of LAC 33:VII.315.B. Specifically, located in the Permitted Container Storage Area, the Department discovered one (1) twenty (20) gallon container housing T-914A Sump Sludge, which was generated on September 25, 2016. This violation was discovered during the October 27, 2017 inspection. On or about January 4, 2018, the Respondent provided documentation that the solid waste was sent for disposal on November 2, 2017.
- E. The Respondent failed to amend the facility's contingency plan to reflect changes to its design, construction, operation, or maintenance, in violation of LAC 33:V.1513.D.4. Specifically, the facility failed to update its contingency plan to reflect changes made to a hazardous waste storage tank. Tank 4403-FB, made of Furan, was replaced with 316 Stainless Steel. The facility has updated its contingency to reflect the current and accurate material of construction for hazardous waste storage tank 4403-FB, as verified by documents received by the Department on or about December 1, 2017.

SYNGENTA CROP PROTECTION, LLC

MM-PP-17-01116

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Pursuant to La. R.S. 30:2050.3(B), you are hereby notified that the issuance of a penalty assessment is being considered for the violation(s) described herein. Written comments may be filed regarding the violation(s) and the contemplated penalty. If you elect to submit comments, it is requested that they be submitted within ten (10) days of receipt of this notice.

Prior to the issuance of any additional appropriate enforcement action, you may request a meeting with the Department to present any mitigating circumstances concerning the violation(s). If you would like to have such a meeting, please contact Adrienne Landry at (225) 219-3805 within ten (10) days of receipt of this **NOTICE OF POTENTIAL PENALTY**.

The Department is required by La. R.S. 30:2025(E)(3)(a) to consider the gross revenues of the Respondent and the monetary benefits of noncompliance in order to determine whether a penalty will be assessed and the amount of such penalty. Please forward the Respondent's most current annual gross revenue statement along with a statement of the monetary benefits of noncompliance for the cited violations to the above named contact person within ten (10) days of receipt of this **NOTICE OF POTENTIAL PENALTY**. Include with your statement of monetary benefits the method(s) you utilized to arrive at the sum. If you assert that no monetary benefits have been gained, you are to fully justify this statement. If the Respondent chooses not to submit the requested most current annual gross revenues statement within ten (10) days, it will be viewed by the Department as an admission that the Respondent has the ability to pay the statutory maximum penalty as outlined in La. R.S. 30:2025.

For each violation described herein, the Department reserves the right to seek civil penalties and the right to seek compliance with its rules and regulations in any manner allowed by law, and nothing herein shall be construed to preclude the right to seek such penalties and compliance.

The Department assesses civil penalties based on LAC 33:I.Subpart1.Chapter 7. To expedite closure of this NOTICE OF POTENTIAL PENALTY, the Respondent may offer a settlement amount to resolve any claim for civil penalties for the violation(s) described herein. The Respondent may offer a settlement amount, but the Department is under no obligation to enter into settlement negotiations. The decision to proceed with a settlement is at the discretion of the Department. The settlement offer amount may be entered on the attached "NOTICE OF POTENTIAL PENALTY REQUEST TO SETTLE" form. The Respondent must include a justification of the offer. DO NOT submit payment of the offer amount with the form. The Department will review the settlement offer and notify the Respondent as to whether the offer is or is not accepted.

To reduce document handling, please refer to the Enforcement Tracking Number and Agency Interest Number on the front of this document on all correspondence in response to this action.

Sincere

Lourdes Iturralde

Assistant Secretary

Office of Environmental Compliance

SYNGENTA CROP PROTECTION, LLC MM-PP-17-01116

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LI/ARL/arl Alt ID No. LAD053783445

c: SYNGENTA CROP PROTECTION, LLC c/o Richard Boudreau - Principle Environmental Engineer 3905 Highway 75 St. Gabriel, LA 70776

	ANA DEPARTMENT OF		AL QUALITY			
1	OF ENVIRONMENTAL					
	CEMENT DIVISION		OTICE OF POTENTIAL		T	
	OFFICE BOX 4312	RE	QUEST TO SETTLE (C	PPTIONAL)	DEO	
	ROUGE, LOUISIANA 7				LOUISIANA	
	ement Tracking No. / Interest (Al) No.	MM-PP-17-01	.116	Contact Name	Adrienne Landry	
	ate ID No.	2367 LAD05378344	F	Contact Phone No.	225-219-3805	
Respon	7/	LAD05578544	5			
Respos	ident.	Syngenta Cro	n Drotoction U.C	Facility Name:	Syngenta Crop protection – St. Gabriel,	
1		c/o CT Corpor	pp Protection, LLC		LA Plant	
			vice of Process	Physical Location:	3905 Highway 75	
-			za Tower Drive City, State, Zip:		St Cobriel 14 70776	
ļ		Baton Rouge,		Parish:	St. Gabriel, LA 70776 Iberville	
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	Γ		(check the appli	cable option)		
	The Respondent is not	t interested in e	entering into settlement	negotiations with the I	Department with the understanding that the	
	Department has the ri	ght to assess ci	vil penalties based on LA	C 33:I.Subpart1.Chapte	#7.	
	In order to resolve an	y claim for civil	penalties for the violat	ions in NOTICE OF POT	TENTIAL PENALTY MM-CN-17-01116, the	
	Respondent is interes	ted in entering	into settlement negotia	itions with the Departi	ment and would like to set up a meeting to	
	discuss settlement pro	cedures.		the beparer	ment and would like to set up a meeting to	
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	Respondent is inte	rested in en	tering into settlemen	t negotiations with	the Department and offers to pay	
	\$	which sha	Il include LDEQ enforcen	nent costs and any mor	netary benefit of non-compliance.	
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	• DO NOT SUBMI	T PAYMENT OF	THE OFFER WITH THIS	FORM- the Departmen	t will review the settlement offer and notify	
	the Responde	nt as to whethe	er the offer is or is not ac	cepted.		
	The Respondent has re	eviewed the vio	lations noted in NOTICE	OF POTENTIAL PENAL	TY MM-CN-17-01116 and has attached a	
	justification of its offer	and a descripti	ion of any BEPs if include	ed in settlement offer.	a service of the serv	
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I certify	, under provisions in	Louisiana and	United States law that	provide criminal pen	alties for false statements, that based on	
informa	ition and belief formed	d after reasoni	able inquiry, the stater	nents and information	attached and the compliance statement	
above,	are true, accurate, and	complete. I also	certify that I do not ow	re outstanding fees or	penalties to the Department for this facility	
or any	other facility I own o	r operate. I fu	rther certify that I am	either the Responder	nt or an authorized representative of the	
Respon	dent.					

	Respondent's Signati	ure	Respondent's	Printed Name	Respondent's Title	
	Respondent's Physic	al Address	Respo	ndent's Phone #	Date	
		MAIL COM	PLETED DOCUMENT	TO THE ADDRESS	BELOW:	
Louisian	a Department of Enviro					
	f Environmental Complia		*			
	ment Division					
P.O. Box	4312				9	
	ouge, LA 70821					
Attn: A	drienne Landry				÷	

WHAT IS A SETTLEMENT AGREEMENT?

Once the Department has determined that a penalty is warranted for a violation, the Assistant Secretary of the Department, with the concurrence of the Attorney General, may enter into a settlement agreement with the Respondent as a means to resolve the Department's claim for a penalty.

HOW DOES THE SETTLEMENT AGREEMENT PROCESS WORK?

To begin the settlement agreement process, the Department must receive a written settlement offer. Once this offer is submitted, it is sent for approval by the Assistant Secretary of the Office of Environmental Compliance. The formal Settlement Agreement is drafted and sent to the Attorney General's office where the Attorney General has a 90 day concurrence period. During this time, the Respondent is required to run a public notice in an official journal and/or newspaper of general circulation in each affected parish. After which, a 45 day public comment period is opened to allow the public to submit comments. Once the Department has received concurrence, the settlement agreement is signed by both parties. The Department then forwards a letter to the responsible party to establish a payment plan and/or beneficial environmental project (BEP).

WHAT SHOULD I INCLUDE IN A SETTLEMENT AGREEMENT?

The Department uses the penalty determination method defined in LAC 33:1.705 as a guideline to accepting settlement offers. The penalty matrix is used to determine a penalty range for each violation based on the two violation specific factors, the nature and gravity of the violation and the degree of risk/impact to human health and property.

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	新典學和於自由	MAJOR	MODERATE	MINOR
IMPACT TH OR	MAJOR	\$32,500 to \$20,000	\$20,000 to \$15,000	\$15,000 to \$11,000
E OF RISK OR IUMAN HEALT PROPERTY	MODERATE	\$11,000 to \$8,000	\$8,000 to \$5,000	\$5,000 to \$3,000
DEGRE TO	MINOR	\$3,000 to \$1,500	\$1,500 to \$500	\$500 to \$100

Degree of Risk to Human Health or Property

Major: (actual measurable harm or substantial risk of harm) A violation of major impact to an environmental resource or a hazard characterized by high volume and/or frequent occurrence and/or high pollutant concentration.

Moderate: (potential for measurable detrimental impact) A violation of moderate impact and hazard may be one characterized by occasional occurrence and/or pollutant concentration that may be expected to have a detrimental effect under certain conditions

Minor. (no harm or risk of harm) A violation of minor impact are isolated single incidences and that cause no measurable detrimental effect or are administrative in nature.

Nature and Gravity of the Violation

Major. Violations of statutes, regulations, orders, permit limits, or permit requirements that result in negating the intent of the requirement to such an extent that little or no implementation of requirements occurred.

Moderate: Violations that result in substantially negating the intent of the requirements, but some implementation of the requirements occurred. Minor. Violations that result in some deviation from the intent of the requirement; however, substantial implementation is demonstrated.

The range is adjusted using the following violator specific factors:

- 1. history of previous violations or repeated noncompliance;
- 2. gross revenues generated by the respondent;
- 3. degree of culpability, recalcitrance, defiance, or indifference to regulations or orders;
- 4. whether the Respondent has failed to mitigate or to make a reasonable attempt to mitigate the damages caused by the violation; and
- 5. whether the violation and the surrounding circumstances were immediately reported to the department, and whether the violation was concealed or there was an attempt to conceal by the Respondent.



Given the previous information, the following formula is used to obtain a penalty amount.

Penalty Event Total = Penalty Event Minimum + (Adjustment Percentage x [Penalty Event Maximum - Penalty Event Minimum])

After this, the Department adds any monetary benefit of noncompliance to the penalty event. In the event that a monetary benefit is gained due to the delay of a cost that is ultimately paid, the Department adds the applicable judicial interest. Finally, the Department adds all response costs including, but not limited to, the cost of conducting inspections, and the staff time devoted to the preparation of reports and issuing enforcement actions.

WHAT IS A BEP?

A BEP is a project that provides for environmental mitigation which the respondent is not otherwise legally required to perform, but which the defendant/respondent agrees to undertake as a component of the settlement agreement.

Project categories for BEPs include public health, pollution prevention, pollution reduction, environmental restoration and protection, assessments and audits, environmental compliance promotion, and emergency planning, preparedness and response. Other projects may be considered if the Department determines that these projects have environmental merit and is otherwise fully consistent with the intent of the BEP regulations.

WHAT HAPPENS IF MY OFFER IS REJECTED?

If an offer is rejected by the Assistant Secretary, the Legal Division will contact the responsible party, or anyone designated as an appropriate contact in the settlement offer, to discuss any discrepancies.

WHERE CAN I FIND EXAMPLES AND MORE INFORMATION?

	Settlement Offers	and the FDMO of the first of	
	occupation of the contract of	Searchable in <u>EDMS</u> using the following filters Media: Air Quality, Function: Enforcement; Description: Settlement	
	Settlement Agreements	Enforcement Division's website	
Penalty Determination Method		specific examples can be provided upon request	
	Beneficial Environmental Projects	LAC 33:1 Chapter 7	
		FAQs	100
	Judicial Interest	provided by the Louisiana State Bar Association	



JOHN BEL EDWARDS GOVERNOR



CHUCK CARR BROWN, PH.D. SECRETARY

State of Louisiana

DEPARTMENT OF ENVIRONMENTAL QUALITY OFFICE OF ENVIRONMENTAL COMPLIANCE

APR 3 0 2020

CERTIFIED MAIL (7018 0360 0001 5039 1925) RETURN RECEIPT REQUESTED

SYNGENTA CROP PROTECTION, LLC

c/o CT Corporation System Agent for Service of Process 3867 Plaza Tower Drive Baton Rouge, LA 70816

RE: AMENDED NOTICE OF POTENTIAL PENALTY ENFORCEMENT TRACKING NO. MM-PP-17-01116A **AGENCY INTEREST NO. 2367**

Dear Sir/Madam:

Pursuant to the Louisiana Environmental Quality Act (La. R.S. 30:2001, et seq.), the attached AMENDED NOTICE OF POTENTIAL PENALTY is hereby served on SYNGENTA CROP PROTECTION, LLC (RESPONDENT) for the violation described therein.

Any questions concerning this action should be directed to Adrienne Landry at (225) 219-3805.

Sincerely.

Administrator

Enforcement Division

CJC/ARL/arl Alt ID No. LAD053783445 Attachment .



STATE OF LOUISIANA DEPARTMENT OF ENVIRONMENTAL QUALITY

OFFICE OF ENVIRONMENTAL COMPLIANCE

IN THE MATTER OF

*

SYNGENTA CROP PROTECTION, LLC

IBERVILLE PARISH

ALT ID NO. LAD053786445

ENFORCEMENT TRACKING NO.

MM-PP-17-01116A

AGENCY INTEREST NO.

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PROCEEDINGS UNDER THE LOUISIANA ENVIRONMENTAL QUALITY ACT,

La. R.S. 30:2001, ET SEQ.

2367

AMENDED NOTICE OF POTENTIAL PENALTY

The Louisiana Department of Environmental Quality (the Department) hereby amends the NOTICE OF POTENTIAL PENALTY, ENFORCEMENT TRACKING NO. MM-PP-17-01116 issued to SYNGENTA CROP PROTECTION, LLC (RESPONDENT) on July 6, 2018 in the above-captioned matter as follows:

1.

The Department hereby removes paragraph E in its entirety.

II.

The Department incorporates all of the remainder of the original NOTICE OF POTENTIAL PENALTY, ENFORCEMENT TRACKING NO. MM-PP-17-01116 and AGENCY INTEREST NO. 2367 as if reiterated herein.

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This AMENDED NOTICE OF POTENTIAL PENALTY is effective upon receipt.

Baton Rouge, Louisiana, this

, 2020.

Lourdes Iturralde

Assistant Secretary

Office of Environmental Compliance

Copies of a request for a hearing and/or related correspondence should be sent to:

Louisiana Department of Environmental Quality Office of Environmental Compliance Enforcement Division Post Office Box 4312 Baton Rouge, LA 70821-4312 Attention: Adrienne Landry